



Filing ID #10022386

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Tom Malinowski
Status: Congressional Candidate
State/District: NJ07

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2018
Filing Date: 05/15/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Air Lease Corporation Class A (AL) [ST]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Alpha and Omega Semiconductor Limited - Common Shares (AOSL) [ST]		\$15,001 - \$50,000	None		
Alpha and Omega Semiconductor Limited - Common Shares (AOSL) [ST]		None	Capital Gains	None	\$2,501 - \$5,000
Amber Road, Inc. (AMBR) [ST]		\$50,001 - \$100,000	Capital Gains	None	\$5,001 - \$15,000
Ameresco, Inc. Class A (AMRC) [ST]		\$50,001 - \$100,000	None		
athenahealth, Inc. (ATHN) [ST]		\$15,001 - \$50,000	Capital Gains	None	\$5,001 - \$15,000
Bank of America checking account [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Canadian Energy Service Common Shares (CESDF)		\$15,001 - \$50,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
[ST]					
CareDx, Inc. (CDNA) [ST]		\$15,001 - \$50,000	None		
Castlight Health, Inc. Class B (CSLT) [ST]		\$15,001 - \$50,000	None		
Celcuity Inc. (CELC) [ST]		\$15,001 - \$50,000	None		
Chembio Diagnostics, Inc. (CEMI) [ST]		\$1,001 - \$15,000	None		
CommVault Systems, Inc. (CVLT) [ST]		\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	None
CREF-Bond Market [PE]		\$1,001 - \$15,000	None		
CREF-Social Choice [PE]		\$50,001 - \$100,000	None		
CREF-Stock [PE]		\$50,001 - \$100,000	None		
Cross Country Healthcare, Inc. (CCRN) [ST]		None	Capital Gains	None	\$2,501 - \$5,000
Dream Unlimited Corp Ordinary Shares (DRUNF) [ST]		None	Capital Gains	None	\$1,001 - \$2,500
Equinix, Inc. (EQIX) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	\$15,001 - \$50,000
Everbridge, Inc. (EVBG) [ST]		\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000	None
Five9, Inc. (FIVN) [ST]		\$15,001 - \$50,000	Capital Gains	\$15,001 - \$50,000	None
Flexion Therapeutics, Inc. (FLXN) [ST]		\$15,001 - \$50,000	None		
Gamestop Corporation (GME) [ST]		None	Capital Gains	None	\$5,001 - \$15,000
General Finance Corporation (GFN) [ST]		\$50,001 -	Dividends	\$201 -	\$1,001 -

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
		\$100,000		\$1,000	\$2,500
General Finance Corporation - Cumulative Redeemable Perpetual Preferred Series C (GFNCP) [ST]		\$1,001 - \$15,000	None		
Health Insurance Innovations, Inc. - Class A (HIIQ) [ST]		None	Capital Gains	None	\$5,001 - \$15,000
Instructure, Inc. (INST) [ST]		\$15,001 - \$50,000	None		
Instructure, Inc. (INST) [ST]		\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000	None
Insys Therapeutics, Inc. (INSY) [ST]		None	Capital Gains	None	\$5,001 - \$15,000
Invuity, Inc. (IVTY) [ST]		None	Capital Gains	None	\$1,001 - \$2,500
iShares 7-10 Year Treasury Bond ETF (IEF) [ST]		None	Capital Gains	None	\$1 - \$200
Lumber Liquidators Holdings, Inc (LL) [ST]		\$15,001 - \$50,000	Capital Gains	None	\$15,001 - \$50,000
Marketo, Inc. (MKTO) [ST]		None	Capital Gains	None	\$2,501 - \$5,000
Navigator Holdings Ltd. Ordinary Shares (NVGS) [ST]		\$15,001 - \$50,000	None		
Neovasc Inc. - Common Shares (NVCN) [ST]		\$1,001 - \$15,000	None		
Netsuite Inc (N) [ST]		None	Capital Gains	None	\$15,001 - \$50,000
New Relic, Inc. (NEWR) [ST]		\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000	None
Oracle Corporation (ORCL) [ST]		None	Capital Gains	None	\$201 - \$1,000
Penumbra, Inc. (PEN) [ST]		None	Capital Gains	None	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Power Solutions International, Inc. (PSIX) [ST]		\$15,001 - \$50,000	None		
Profound Medcial Corporation (stock) [OT]		\$1,001 - \$15,000	None		
DESCRIPTION: Stock ticker is PRFMF (not recognized by this system)					
Pure Storage, Inc. Class A (PSTG) [ST]		None	Capital Gains	None	\$2,501 - \$5,000
Red Hat, Inc. (RHT) [ST]		\$50,001 - \$100,000	Capital Gains	None	\$15,001 - \$50,000
Royal Gold, Inc. (RGLD) [ST]		None	Capital Gains	None	\$5,001 - \$15,000
Rudolph Technologies, Inc. (RTEC) [ST]		\$50,001 - \$100,000	Capital Gains	None	\$15,001 - \$50,000
Sally Beauty Holdings, Inc. (SBH) [ST]		None	Capital Gains	None	\$201 - \$1,000
SecureWorks Corp. - Class A (SCWX) [ST]		\$15,001 - \$50,000	None		
Sprouts Farmers Market, Inc. (SFM) [ST]		None	Capital Gains	None	\$15,001 - \$50,000
Suncor Energy Inc. (SU) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Talend S.A. - American Depositary Shares (TLND) [ST]		\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	None
The Fresh Market, Inc. (TFM) [ST]		None	Capital Gains	None	\$5,001 - \$15,000
TIAA-CREF Pension Plan [PE]		\$100,001 - \$250,000	None		
TIAA-Real Estate [PE]		\$15,001 - \$50,000	None		
Tivity Health, Inc. (TVTY) [ST]		None	Capital Gains	None	\$5,001 - \$15,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
VanEck Vectors Junior Gold Miners ETF (GDXJ) [ST]		None	Capital Gains	None	\$2,501 - \$5,000
WPX Energy, Inc. (WPX) [ST]		\$50,001 - \$100,000	None		
Xactly Corporation (XTLY) [ST]		None	Capital Gains	None	\$2,501 - \$5,000

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Gagnon Securities	2017	Bloomin Brands, short sale, closed	\$10,000 - \$15,000
	Gagnon Securities	2017	CarGurus, short sale, closed	\$15,001 - \$50,000
	Gagnon Securities	2017	Carnival Corp, short sale, closed	\$15,001 - \$50,000
	Gagnon Securities	2017	Colgate Palmolive, short sale, open	\$15,001 - \$50,000
	Gagnon Securities	2017	Dineequity INC, short sale, open	\$15,001 - \$50,000
	Gagnon Securities	2017	Federal Realty Invst, short sale, open	\$15,001 - \$50,000
	Gagnon Securities	2017	General Mills, short sale, open	\$15,001 - \$50,000
	Gagnon Securities	2017	Kellogg Company, short sale, open	\$10,000 - \$15,000
	Gagnon Securities	2017	Macerich Co, short sale, open	\$15,001 - \$50,000
	Gagnon Securities	2017	Mimedx Co, short sale, open	\$10,000 - \$15,000
	Gagnon Securities	2017	Post Hldgs INC, short sale, open	\$15,001 - \$50,000
	Gagnon Securities	2017	Royal Carribean Cruises, short sale, closed	\$15,001 - \$50,000
	Gagnon Securities	2016	Tanger Factory Outlets, short sale, open	\$15,001 - \$50,000
	Gagnon Securities	2016	Sonic Corp, short sale, open	\$15,001 - \$50,000
	Gagnon Securities	2016	Simon Ppty Grp, short sale, open	\$15,001 - \$50,000

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Gagnon Securities	2016	Taubman Centers Inc, short sale, open	\$15,001 - \$50,000
	Gagnon Securities	2018	Anika Therapeutics INC, Short Sale, Open	\$15,001 - \$50,000
	Gagnon Securities	2018	Avalonbay Cmnty INC, short sale, open	\$15,001 - \$50,000
	Gagnon Securities	2018	ELF Beauty, short sale, open	\$10,000 - \$15,000
	Gagnon Securities	2018	Equitable Group INC, short sale, open	\$10,000 - \$15,000
	Gagnon Securities	2018	Equity Residential, Short sale, open	\$15,001 - \$50,000
	Gagnon Securities	2018	Essex Ppty, short sale, open	\$15,001 - \$50,000
	Gagnon Securities	2018	Ingles Markets, short sale, open	\$10,000 - \$15,000
	Gagnon Securities	2018	Sprouts Farmers Markets, short sale, open	\$15,001 - \$50,000
	Gagnon Securities	2018	UDR INC, short sale, open	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Member, Board of Advisors	Inle Advisory Group

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
1992	Ford Foundation	Continuing participation in Employee Benefit Plan: I will continue to participate in Ford Foundation TIAA-CREF plan. Ford has not made further contributions since my resignation.
2001	Human Rights Watch	Continuing participation in Employee Benefit Plan: I will continue to participate in Human Rights Watch TIAA-CREF plan. Human Rights Watch has not made further contributions since my resignation.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☒ Yes ☐ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Tom Malinowski , 05/15/2018